

(Unofficial)

**Regulation of the Food and Drug Administration
on Procedures of Food Serial Numbers B.E.2557 (2014)**

Due to the Food and Drug Administration has improved a work system of consumer protection in food area so it is necessary to revise former effective regulation on procedures of food serial numbers to be consistent and compliant with a new improved work system of consumer protection.

Therefore, by the virtue of provisions of Section 32 of the Governmental Administrative Orders and Regulations Act B.E. 2534 (1991), with the Amendment of the Governmental Administrative Orders and Regulations Act (No.5) B.E. 2545 (2002) and Section 36 of the Governmental Administrative Orders and Regulations Act B.E. 2534 (1991), in conjunction with Clause 7 of the Notification of Minister of Public Health (No.367) B.E.2557 (2014), Re: Labeling of prepackaged food dated 8th May B.E. 2557 (2014), the Food and Drug Administration has issued the regulation as follows:

Clause 1 This regulation is called “Regulation of the Food and Drug Administration on Procedures of food serial numbers”.

Clause 2 This regulation shall come into force as from the 3rd December B.E. 2557 (2014) onwards.

Clause 3 Regulation on procedures of food serial numbers dated 7th January B.E.2557 (2014) shall be repealed.

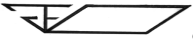
Clause 4 Foods that shall declare food serial numbers are

4.1 Specific controlled foods.

4.2 Prescribed foods to have qualities or standards.

4.3 Prescribed foods to have labels by the minister.

All this including foods other than 4.1 to 4.3 that have been inspected standards of production premises or importing premises according to Notification of Ministry of Public Health Re: Production methods, equipments and storages that have been prescribed for such specific foods and intend to declare food serial numbers.

Clause 5 Declaration of Food Serial Numbers means declaration of mark or format of foods that have permission for registration of food recipe, for food labels, food registration or notify details of foods that consist of mark  and such food serial number.

The food serial number consists of thirteen digits separated into 5 series which representing production premises or importing premises as the case maybe, licensors' agencies and the order of food by presenting in the following apparent mark



5.1 The first series (XX) consist of two digits which represent a province of location of food production premises or importing premises by using numbers represent abbreviated province letters as specified in the table presenting province codes in the list No.1 enclosed with this regulation.

5.2 The second series (X) consist of one digit represent status of food production premises or importing premises and licensors' agencies as follows:

No. 1 means food production premises permitted by the Food and Drug Administration

No. 3 means food importing premises permitted by the Food and Drug Administration

No. 2 means food production premises permitted by provinces

No. 4 means food importing premises permitted by provinces.


5.3 The third series (XXXXX) consist of five digits represent permitted number of food production premises or permitted number of importing premises and permitted year in B.E. The first three digits of the third series are number of food production premises or importing premises as the case maybe and the last two digits of the third series are the last two digits of the permitted year, such as 00241 represents number of food production premises or importing premises which have been permitted as the second order in the year B.E. 2541 (1998).

5.4 The forth series (Y) consist of one digit represent an agency issued food serial numbers as follows;

No. 1 means food received food serial numbers from the Food and Drug Administration.

No. 2 means food received food serial numbers from provinces.

5.5 The fifth series (YYYY) consist of four digits represent the order of food produced by each food production premises or importing premises separate licesors' agencies as 5.4, such as 0001 represents the first order, 0099 represent the ninety-ninth order, 0110 represent the one hundred and tenth order, 1001 represent the one thousand and first order.

Clause 6 Declare food serial numbers in the mark  with numbers in color contrast with background of frame and size of numbers not less than 2 mm. Color of frame contrast with label background color.

In case declaration cannot be made as in para 1, explanation and reason shall be given to the Food and Drug Administration to consider, the Administration may approve for declaration of food serial number different from para 1.

Clause 7 Application for food serial numbers in Clause 4 shall follow Annex 2 of this regulation. For food prescribed in Annex 3 shall submit the details of such foods and additional evidences as in Annex 3.

Clause 8 Correction of details relevant to food production premises, importing premises and changing of food items shall follow Annex 4 of this regulation.

Clause 9 Licensors have authority to cancel food serial numbers registration evidence if it is appeared to have any following characters;

9.1 Impure food under Section 26

9.2 Adulterated foods under Section 27

9.3 Substandard foods under Section 28

9.4 Foods with characteristics as prescribed in Section 29

9.5 Foods which is changed to be used as drugs, psychotropic substances, narcotics, cosmetics or medical devices.

9.6 Foods which production premise is changed to be recognized as factory.

9.7 Foods that have been approved their labels but fail to apply for correction of inaccurate items within the period notified in relevant notifications of the Ministry of Public Health.

9.8 Foods which are produced from production premises which are found to out of business.

9.9 Foods that after receiving the food serial numbers are found that their details are not complied with registered data as in clause 9 or clause 10 or clause 11 of document for assessment of products as defined in Form Sorbor. 5-1 which enclosed with this regulation except for such finding details have been permitted to completely correct.

Clause 10 Cancellation of evidences of food serial numbers receiving shall be preceded as follows;

10.1 Report facts with evidences to licensors whenever having any cases in Clause 9.

10.2 Cancellation of food serial numbers, licensor shall give a letter to person who received such food serial numbers.

Announced on the 20th November B.E. 2557 (2014)

(Signed) Boonchai Somboonsook

(Mr. Boonchai Somboonsook)

Secretary-General of Food and Drug Administration

Note: This English version of the notification is translated to meet the need of the non-Thai speaking people. In case of any discrepancy between the Thai original and the English translation, the former will take priority.

CANCELLED

**Annex 1 enclosed with Regulation of the Food and Drug Administration
on Procedures of Food Serial Numbers B.E.2557 (2014)**

Table of Provincial code

| No. | Name of province | No. | Name of province | No. | Name of province | No. | Name of province |
|-----|-----------------------|-----|------------------|-----|------------------|-----|---------------------|
| 10 | Bangkok metropolitam | 31 | Bureerum | 50 | Chiangmai | 72 | Supanburee |
| 11 | Smutprakarn | 32 | Surintr | 51 | Lumpoon | 73 | Nakornprathom |
| 12 | Nonhaburi | 33 | Srisagate | 52 | Lumpang | 74 | Samutsakorn |
| 13 | Patumthani | 34 | Ubolratchathanee | 53 | Uttaradit | 75 | Samutsongkram |
| 14 | Pranakornsriayutthaya | 35 | Yasothon | 54 | Prae | 76 | Petchburee |
| 15 | Arngtong | 36 | Chaiyapoom | 55 | Nan | 77 | Prajuabkerekun |
| 16 | Lopburi | 37 | Amnartjaroen | 56 | Payoaw | 80 | Nakornsritammaratch |
| 17 | singhburi | 38 | Buengarn | 57 | Chiangrai | 81 | Gabi |
| 18 | Chainart | 39 | Nhongbualumpoo | 58 | Maehongsorn | 82 | Pangnga |
| 19 | saraburi | 40 | Khongaen | 60 | Nakornsawan | 83 | Puget |
| 20 | Chonburi | 41 | Udomthanee | 61 | Uthaitanee | 84 | Suratdhanee |
| 21 | Rayong | 42 | Loei | 62 | Gampangpetch | 85 | Ranong |
| 22 | Chanthaburi | 43 | Nongkai | 63 | Tak | 86 | Chumporn |
| 23 | Trad | 44 | Mahasarakarm | 64 | Sukothai | 90 | Songkla |
| 24 | Chachoengsoa | 45 | Roied | 65 | Pitsanuloke | 91 | Satool |
| 25 | Prajeenburi | 46 | Galasin | 66 | Pijitr | 92 | Trang |
| 26 | Nakornnayog | 47 | sakolnakorn | 67 | Petchaburee | 93 | Pattalung |
| 27 | sakaew | 48 | Nakornpanom | 70 | Ratchburee | 94 | Pattanee |
| 30 | Nakornrachaseema | 49 | Mukdaharn | 71 | Kanchanaburi | 95 | Yala |
| | | | | | | 96 | Naratiwas |

Remark: Reference of Number represent provincial codes from Ministry of Internal Affairs

Annex 2 enclosed with Regulation of the Food and Drug Administration
on Procedures of Food Serial Numbers B.E.2557 (2014)

Application for food serial numbers for food produced or imported for sell shall proceed as follows:

1. Specific controlled foods

1.1 In case of food produced from production premises recognized as a factory or import which have license for production or import into the kingdom, apply for food recipe registrations by use of form Orr. 17 as Annex No.4 (B.E.2522) (1979) dated 17th November B.E.2522 (1979).

1.2 In case of food produced from production premises not recognized as a factory, use of Form Sorbor. 1 enclosed to this regulation together with evidences specified in such form when apply for a number for production premises not recognized as a factory, and use of Form Sorbor. 3 enclosed to this regulation together with evidences specified in such form when apply for permission of food labels.

Making or not making any permission for a number of food production premises or for food labels, licensors shall completely fill all items together with their signature as evidences in the last part of form Sorbor. 1 and form Sorbor. 3 as the case maybe,

2. Prescribed food to have the qualities or standards which the minister prescribes for delivery of labels for approval prior to use and food which require to have labels that the minister prescribe for delivery of labels for approval prior to use

2.1 In case of food produced from production premises recognized as a factory which food production licenses or licenses of food importation or order into the kingdom have been issued, apply for permission of food labels by use of form Sorbor. 3 as annex of this regulation together with evidences specified in such form.

Making or not making permission for use of food labels, licensors shall completely fill all items in the last part of form Sorbor. 3 together with their signature as evidences.

2.2 In case of food produced from production premises not recognized as a factory, apply for a number of production premises not recognized as a factory by use of form Sorbor. 1 an annex of this regulation together with evidences specified in such form and apply for permission of label use of form Sorbor. 3 as annex of this regulation together with evidences specified in such form.

Making or not making permission for a number of production premises and food labels, licensors shall completely fill all items together with their signatures as evidences in the last part of form Sorbor. 1 and form Sorbor. 3 as the case maybe.

3. Prescribed food to have the qualities or standards which not required for delivery of label for approval prior to use

3.1 In case of food produced from production premises recognized as a factory which food production licenses or licenses of food importation or order into the kingdom have been issued, apply for food registration by use of form Sorbor. 5 as annex to this regulation.

3.2 In case of food produced from production premises not recognized as a factory, apply for a number of production premises not recognized as a factory by use of form Sorbor. 1 as annex of this regulation together with evidences specified in such form and apply for food registration by use of form Sorbor. 5 as annex of this regulation.

Making or not making permission for a number of production premises, licensors shall completely fill all items together with their signature as evidences in the last part of form Sorbor. 1.

4. Foods which the minister prescribe to have labels and no need to delivery of label for approval prior to use

4.1 In case of food produced from production premises recognized as a factory which food production licenses or licenses of food importation or order into the kingdom have been issued, apply for food notification by use of form Sorbor. 5 as annex to this regulation.

4.2 In case of food produced from production premises not recognized as a factory, apply for a number of production premises not recognized as a factory by use of form Sorbor. 1 as annex of this regulation together with evidences specified in such form and apply for food notification by use of form Sorbor. 5 as annex of this regulation.

Making or not making permission for a number of production premises, licensors shall completely fill all items together with their signature as evidences in the last part of form Sorbor. 1.

5. Foods other than specific controlled food, prescribed food to have the qualities or standards or food which the minister prescribe to have labels

5.1 In case of food produced from production premises recognized as a factory which food production licenses or licenses of food importation or order into the kingdom have been issued, apply for food notification by use of form Sorbor. 5 as annex to this regulation.

In case of food produced from production premises not recognized as a factory, apply for a number of production premises not recognized as a factory by use of form Sorbor. 1 as annex of this regulation together with evidences specified in such form and apply for food notification by use of form Sorbor. 5 as annex of this regulation.

Making or not making permission for a number of production premises, licensors shall completely fill all items together with their signature as evidences in the last part of form Sorbor. 1.

**Annex 3 enclosed with Regulation of the Food and Drug Administration
on Procedures of Food Serial Numbers B.E.2557 (2014)**

Foods that prescribed to submit descriptions and additional evidences as follows:

1. Coffee

For coffee only mixed coffee and instant coffee under the Notification of the Ministry of Public Health Re: Coffee which flavored for ready to drink and packed in sealed containers both liquid and dried, description of recipes in percentages of varieties and quantities per serving shall be given.

So as to correct such food descriptions, Clause 6 and 7 of Annex 4 of this regulation shall be followed.

2. Electrolyte beverage

For electrolyte beverage under the Notification of Minister of Public Health Re: electrolyte beverage, description of recipes in percentages by weight of kinds and quantities of all items of raw materials per litre and per serving of food shall be given.

So as to correct such food descriptions, Clause 6 and 7 of annex 4 of this regulation shall be followed.

3. Cow's milk, flavored milk, fermented milk, milk products, beverages in sealed containers, and food in sealed containers

Cow's milk, flavored milk, fermented milk, milk products, beverages in sealed containers, and food in sealed containers under the Notifications of the Ministry of Public Health of each particular foods, the following additional documents shall be submitted:

(1) Documents of product assessment for food registration as in form Sorbor. 5-1 as annex of this regulation.

(2) In case of use of food additives, documents for checking of quantity of food additives used as in form Sorbor. 5-2 as annex of this regulation shall be submitted.

(3) In case of having vitamins and minerals as ingredients, documents for checking quantity of vitamins and minerals as in form Sorbor. 5-3 as annex of this regulation shall be submitted.

(4) In case of having amino acids as ingredients, documents for checking quantity of amino acids as in form Sorbor. 5-4 as annex of this regulation shall be submitted.

(5) In case of having herbs, extracted or synthetic substances as ingredients, documents for checking quantity of herbs, extracted or synthetic substances as in form Sorbor5-5 as annex of this regulation shall be submitted.

(6) Result of test analysis on qualities or standards of cow's milk, flavored milk, fermented milk, milk products, beverages in sealed containers, and food in sealed containers under the Notifications of the Ministry of Public Health of each particular foods as follows:

(6.1) In case of production, original document of analysis result for qualities or standards shall be submitted when it is the first time of marketing. Such test results shall be made by both domestic and foreign official agencies or by agencies or bodies authorized or recognized by government agencies in particular countries or by domestic or foreign bodies accredited by laboratory accreditation body with international standards.

(6.2) In case of importation, original document of analysis result for qualities or standards shall be submitted conjunction with food registration. Such test results shall be made by official agencies both domestic and abroad or by agencies or bodies authorized or recognized by government agencies in particular countries or by domestic or foreign bodies accredited by laboratory accreditation body with international standards.

So as to correct such food descriptions, Clause 5, 6 and 7 of annex 4 of this regulation shall be followed.

CANCELLED

**Annex 4 enclosed with Regulation of the Food and Drug Administration
on Procedures of Food Serial Numbers B.E.2557 (2014)**

Correction of details relevant to food production/food importation premises and changing of food items

Any person desire to correct details relevant to food production/food importation premises and changing of food items shall proceed as follows:

1. Food production premises required to declare food serial numbers of food production premises recognized as a factory shall apply by use of form Orr. 1 or form Orr. 5 as annex of the Ministerial Regulation No.1 (B.E.2522) (1979) dated 17th November B.E.2522 (1979).

2. Importation or Ordering of Food into the Kingdom premises required to declare food serial numbers shall apply by use of form Orr. 6 or form Orr. 10 as annex of the Ministerial Regulation No.2 (B.E.2522) (1979) dated 17th November B.E.2522 (1979).

3. Food production premises not recognized as a factory required to declare food serial numbers shall apply for correction of food production premises not recognized as a factory by use of form Sorbor. 2 as annex of this regulation.

Making or not making permission for correction of details of food production premises, licensors shall completely fill all items together with their signature as evidences in the last part of form for correction of food production premises not recognized as a factory in form Sorbor. 2.

4. Specific controlled food produced by food production premises recognized as a factory or import shall apply by use of form Orr. 19 as annex of the Ministerial Regulation No.4 (B.E.2522) (1979) issued by the virtue of the Food Act B.E.2522 (1979) dated 17th November B.E.2522 (1979).

5. Specific controlled food produced by food production premises not recognized as a factory or prescribed food to have qualities or standards that the minister prescribe to deliver labels for approval prior to use or prescribed food require to have labels that the minister prescribe to deliver labels for approval prior to use which produced or imported as the case maybe shall apply by use of form Sorbor. 4 as annex of this regulation for correction of food description that labels have been permitted.

Making or not making permission for correction of details of food having labels permitted, licensors shall completely fill all items together with their signature as evidences in the last part of form for correction of details of food having labels permitted in form Sorbor 4.

6. Prescribed foods to have qualities or standards not required to deliver labels for approval prior to use or food other than specific controlled foods, prescribed food to have qualities or standards or the minister prescribed foods require to have labels shall apply by use of form Sorbor. 6 as annex of this regulation for correction of food registration/food notification.

7. Correction of labels of specific controlled foods produced by food production premises recognized as a factory or import shall apply by use of form Orr 19 as annex of the Ministerial Regulation No.4 (B.E.2522) (1979) issued by the virtue of the Food Act B.E.2522 (1979) dated 17th November B.E.2522 (1979) or of specific controlled foods produced by food production premises not recognized as a factory or of prescribed food to have qualities or standards required to deliver labels for approval prior to use or prescribed foods require to have labels which the minister prescribe to deliver labels for approval prior to use shall apply by use of form Sorbor. 4 as annex of this regulation for correction of food notification which labels permitted if such correction not affect to benefit, qualities or characteristics, standards or safety of such foods, only parts required for correction shall be specified for consideration by licensors in the particular parts as follows:

7.1 Correction of name and location of food production or food importation premises to be consistent with food production licenses or licenses of food importation or ordering into kingdom as the case maybe;

7.2 Correction of name and location of food production premises in foreign countries to be consistent with important documents of registered food recipes;

7.3 Correction of contents of labels to be consistent with relevant Notifications of the Ministry of Public Health and Announcements of the Food and Drug Administration such as:

- Addition of text “Product of Thailand” for export by having the same other details of label as in Notification of Ministry of Public Health, Re: Labels;

- Addition of text “no effect for prevention or curing diseases” as in Notification of Ministry of Public Health, Re: Health supplements etc.

7.4 Correction of text relevant to recommendation for consumption such as:

- Add/modify should drink it all after opening;

- Should not consume if packaging damaged, swelled, leaked or abnormal odour;

- Shake (bottle, box, can, etc.) before drink;

- Chilled for better flavor;

- Prepared with either warm or cold water etc.

7.5 Correction of text relevant to production conditions or importation for sale such as:

- Add/delete/modify text of especially produced for..... (Ex. especially produced for Burgban co, ltd.)

- Only sold at;

- Produced under controlled by.....;

- Produced under quality controlled by.....;

- Produced under patent of....., etc.

7.6 Presentation of sale promotion are not conflicted with notifications of the Ministry of Public Health, Announcements of the Food and Drug Administration and other relevant laws such as:

- Sweepstakes, receiving of premium, giveaways, or free gift
- Add/delete/modify text “prohibit for sale”
- “free gifts not to be sold”

- Words of “new”, Brand new” for products never been marketed” the period for displaying of this word shall not longer than 1 year and may have the same former labels or the new labels of such products which have been permitted.

7.7 Correction of characters, size and color of letters and color and size of picture on labels and color of background of labels by texts on labels are still prominent and clearly visible and not conflicted with relevant Notifications of the Ministry of Public Health.

7.8 Correction of texts or pictures positioning that have been permitted and not changing of meaning from the former and not conflicted with relevant Notifications of the Ministry of Public Health such as:

- Modification of text position, expiry date/month/year from beneath of can to top of can, etc.

7.9 Correction of contact channel with producers, importers or distributors including correction of name and address of distributors in the country and in foreign countries such as:

- Add/delete/modify texts of E – mail Address, Website or Call Center
- Distributed by.....
- Imported by..... / Exported by..... / Distributed by..... , etc.

7.10 Correction of barcode mark, symbols relevant to packaging or recycling of packaging.

7.11 Correction of religious marks as permitted from relevant certification bodies such as:

- Add/delete Halal mark
- Kosher mark, etc.

7.12 Correction of texts or symbols representing as officially sponsors of sport competitions.

7.13 Correction of storage conditions such as:

- Add text of “should pour into ceramic bowls after opening”
- Keep unconsumed in refrigerator

7.14 Correction of texts not relevant to the Notification of Ministry of Public Health, Re: Label or other Notifications of Ministry of Public Health relevant to particular products but not relevant to qualities or standards of products such as:

- Delete /modify word “vegetarian”
- Add/delete “price.....Baht”

7.15 Declaration of texts presenting products for education purpose only such as:

- “Products for medical study”, etc.

7.16 Correction of foreign language texts that having the same meaning with Thai language permitted on labels such as add/delete/modify name and location nutrients, compositions, methods of use, suggestion, etc.

7.17 Addition/deletion/modifying of texts or symbols relevant to charity, social, donation or public relation on activities of producers.

7.18 Correction of marks or symbols of producers’ or importings’ companies not conflicted with Notification of the Ministry of Public Health Re: Labels and relevant Notifications of the Ministry of Public Health.

7.19 Correction of pictures on labels by not alter the meaning from the former and not conflict with the Notification of the Ministry of Public Health Re: Labels and relevant Notifications of the Ministry of Public Health such as:

- Modifying of cartoon’s action from running to swimming or kicking the ball or playing basket ball, etc.

7.20 Correction of texts to be consistent with other laws and not conflict with Notifications of the Ministry of Public Health such as:

- Add word of “beverage” on bottles’ caps under Law of Excise Department, etc.

7.21 Correction of texts to present composition used in products that may cause allergy such as add/delete/modify texts “information for food allergic person: contained nuts, eggs, etc.

7.22 Correction of raw materials having food serial numbers and used as ingredients in products but such raw materials still maintain the same characteristics, color, flavor of products as permitted such as:

- Correction of food serial numbers of flavoring agent, etc.

| |
|--------------------|
| Receiving No. |
| Date..... |

Application form for number of food production premises not recognized as a factory

Written at.....

DateMonth.....B.E.....

1. I.....Age.....years Nationality.....
 Citizen Identification No.....Issued at.....
 Residence Address No.....Trok/Soi.....Road.....Moo.....
 Tambol/Khwang(subdistrict).....Amphor/khet(District).....Province.....
 Telephone.....Fax.....

Apply for number of food production premises.....
 (name of an applicant)

Taxpayer identification No..... by having.....
 is an operator at production premise name.....
 Address No.....Trok/Soi.....Thanon/Street.....Moo.....
 Tambol/Khwang (Subdistrict).....Amphor/khet (District).....Province.....
 Telephone.....Fax.....and having food storage premise at
 Address No.....Trok/Soi.....Thanon/Street.....Moo.....
 Tambol/Khwang (Subdistrict).....Amphor/khet (District).....Province.....
 Telephone.....Fax.....

2. Food produced at this production premise are

| | | | |
|--|---|---|--|
| Group 1 Specifically controlled Food type..... | Group 2 Prescribed foods to have qualities or standards type..... | Group 3 Prescribed foods to have labels type..... | Group 4 Foods other than Group 1,2 and 3 Type processed food in sealed containers ready to sell |
|--|---|---|--|

3. I deliver the following documents together with this application for number of food production premise,

- 3.1 Two copies of Application form for numbers of food production premises not recognized as a factory (form Sorbor 1)
- 3.2 List of equipments used for food production including number of workers.
- 3.3 Site map of food production premises and neighboring constructions.
- 3.4 Plan of constructions in the area of food production premise.
- 3.5 Copy or Photograph of house registration.
- 3.6 Copy or Photograph of document of Certified Registration, Objectives, authorized person of the applicant.
- 3.7 Copy or Photograph of trade registration or Commercial registration.
- 3.8 Copy or Photograph Certified document specify the authorized person to do business of legal entity of the applicant.
- 3.9 Certified document of Nationality of legal entity from Ministry of Commerce.
- 3.10 Others.....

(Form Sorbor. 1)

4. To certify that

4.1 Productions of foods mentioned above is/are complied with

Notification of the Ministry of public Health Re: Production Processes, Production Equipments, and Foods Storages.

Notification of the Ministry of public Health Re: Production Processes, Production Equipments, and Storages of Prepackaged foods.

Others.....

4.2 Food produced shall have the following characteristics

Having qualities or standards as specified in Notification of the Ministry of public Health Re:

Use of food additives as specified in the Notification of the Ministry of public Health Re: Food additives.

No use of prohibited substances in foods as specified in the Notification of the Ministry of public Health Re: Prohibited use of substances in foods.

No use of prohibited foods as ingredients as specified in the Notification of the Ministry of public Health Re: Prescribed Prohibited food to be produced, imported or sold.

Labeling of food as specified in the Notification of the Ministry of public Health Re: Labels.

Use of packaging as specified in Notification of the Ministry of public Health Re: Packaging.

Others.....

4.3 For foods required for food serial numbers shall apply to the Food and Drug Administration by use of form Sorbor. 3 for food labels or use of form Sorbor. 5 for food notification prior to the first production of each food items.

5. Prepackaged foods ready-to-sell as on group 4 (foods other than group 1, 2 and 3) shall apply to notification produced by use of form Sorbor. 5

6. I hereby certify that all warranties given above are able to practice.

Sign.....business operator
(.....)

Remark: Violation warranty in Clause 4.1-4.3 will be illegal under Food Act B.E.2522 (1979)

For officer only

No. of food production premises to be considered together with food serial numbers

Permit

No. of food production premise:.....
to.....

(name of person and name of shop or name of legal person)

Not permit with reason.....

Sign.....licensor
(.....)

Position.....

Date.....

| |
|--------------------|
| Receiving No. |
| Date..... |

Form for correction of food production premises not recognized as a factory

1. I am..... an operator of a food production premises
 Name.....Address No.....
 Trok/Soi.....Thanon/Street.....Moo.....Tambol/Khwang (Subdistrict).....
 Amphor/khet (District).....Province..... Postal code.....
 Telephone.....Fax.....No. of food production premise.....

Apply for correction of food production premises/food storage premises as follows:

.....

2. I have delivered the following documents:

- 2.1 Two copies of Application form for numbers of food production premises not recognized as a factory (form Sorbor. 1).
- 2.2 List of equipments used for food production including number of workers.
- 2.3 Site map of food production premises and neighboring constructions.
- 2.4 Plan of constructions in the area of food production premise.
- 2.5 Copy or Photograph of house registration.
- 2.6 Copy or Photograph of document of Certified Registration, Objectives, authorized person of the applicant.
- 2.7 Copy or Photograph of trade registration or Commercial registration.
- 2.8 Copy or Photograph Certified document specify the authorized person to do business of legal entity of the applicant.
- 2.9 Others.....

3. I truly certify that food production in above mentioned food production premises complied with Good Manufacturing Practice and also regarding.....

sign.....business operator
 (.....)

For officer only

No. of food production premise to consider with food serial numbers declaration

- permit
- not permit reason

sign.....licensor
 (.....)

position.....
 date.....

| |
|-------------------|
| Receiving No..... |
| Date..... |

Application form for food label permit

I am.....on behalf of (company / shop)

.....Head office address No.

Soi.....Road.....Moo.....Tambon/subdistrict

Ampur/District.....Province.....Tel.....

apply for permission for use of food label as samples enclosed and have the following details to support consideration as follows:

1. Name of food in Thai.....

Name of food in foreign.....

2. Characteristic of food.....

3. Type.....as Notification No.....

4. Type of packaging..... Size of packaging.....

.....

.....

5. Details relevant to premises of production, packing or import to sell:

5.1 Have permission for production as license No.Type.....

Have permission for importation or ordering of food into the kingdom as license no.Type.....

Receiving no. for production of food..... Food type.....

5.2 Name and address of production premise.....

Name and address of packing premise.....

Name and address of importation premise.....

6. Food recipes (calculated as percentages by weight)

| <u>Name of substances</u> | <u>quantity</u> | <u>Name of substances</u> | <u>quantity</u> |
|---------------------------|-----------------|---------------------------|-----------------|
| | | | |
| | | | |
| | | | |
| | | | |

(Form Sorbor. 3)

7. Shelf life of product.....days

8. Other additional details:

Production method.....

.....

Foreign language translation number of documents.....copies

9. Purpose of labels

Labels of food intend to sell within the kingdom.

Labels of food not intend to directly sell consumer but sell to the factory type.....

Labels of food intend to export.

10. I truly certify that Declaration of texts on labels will be prominent and color contrasted background of label of packaging or food container and will undertake to make label compliance as approval within 60 days since the date of permission under this application onwards.

11. I deliver evidences for this application for use of food labels as follows:

11.1 Two copies of Applications for use of food labels (with true signatures for all copies).

11.2 Five sets of labels.

11.3 Two sets of original and copies of results of food analysis (for specifically controlled food and prescribed foods to have qualities and standards).

11.4 Other documents.....

Sign.....Business operator
(.....)

For officer only

permit Food name.....

Not permit reason

sign.....licensor
(.....)

position.....

date.....

| |
|------------------|
| No. of receiving |
| Date..... |

Form for correction of details of food having labels permitted

1. I am.....operator of production premises/import
 Name.....Address.....Trok/Soi.....
 Road.....Moo.....tumbon/subdistrict
 ampur/district.....Province.....Postal code.....
 Tel.....Fax.....No. of food production
 premises/food importation..... request to correct of food permitted for use
 of label as follows:

| Name of food /food serial numbers | Items to be corrected |
|-----------------------------------|-----------------------|
| | |

2. I submit the following evidences

2.1 Two copies of form for correction of food description which have been permitted for use of food labels (form Sorbor. 4).

2.2 Others

Sign.....applicant
 (.....)

For officer only

- Permit to correct the above descriptions
- Not permit reason

sign.....licensor
 (.....)

position.....

Date.....

Form
Sorbor.5

| |
|-------------------|
| Receiving No..... |
| Date..... |

Form for food registration / food notification

- apply for food registration
- apply for food notification

- production
- importation

| Name of Foods | Type of foods/No..... | Food Serial Number |
|------------------|-----------------------|--------------------|
| CANCELLED | | |

Sign.....Licensor
(.....)
Position.....
Date.....

| |
|-------------------|
| Receiving No..... |
| Date..... |

(Form Sorbor. 5)

Name of licensee.....production license No./ production premise No.....

Name of production premise.....Address at No.....

Trok/Soi.....Street.....Moo.....

Tambon/Subdistrict.....Ampur/District.....Province.....

Postal code.....Country.....Telephone.....fax.....

E-mail address:.....

Name of importer.....Import license No.....

Name of importation premise.....Address at No.....

Trok/Soi.....Street.....Moo.....

Tambon/subdistrict.....Ampur/District.....Province.....

Postal code.....Country.....Telephone.....fax.....

E-mail address:.....

I attached the following evidences

- (1) Two Copies of Food registration form/ Food notification form (Form Sorbor. 5)
- (2) Others.....

Truly certify that

1. Production of such aforesaid foods is complied with Good manufacturing practices regarding food hygiene and particular Notification of Ministry of Public Health
Re:.....

2. Food produced shall have the following characteristics:

- Having qualities or standards complied with Notification of Ministry of Public Health Re :
- Use of food additives as specified in Notification of the Ministry of Public Health, Re: Food additives.
- No use of prohibited substances in foods as specified in Notification of the Ministry of Public Health, Re: Prohibited use of substances in foods.
- No use of prohibited foods as ingredients as specified in Notification of the Ministry of Public Health, Re: Prescribed Prohibited food to be produced, imported or sold.
- Labeling of food as specified in the Notification of the Ministry of public Health, Re: Labels.
- Use of packaging as specified in Notification of the Ministry of public Health, Re: Packaging.
- Nutrition labeling as specified in Notification of the Ministry of public Health, Re: Nutrition Labeling.
- Others.....

I hereby certify that all warranty given above are true

Sign.....business operator
(.....)

Product assessment for food registration form

- cow's milk flavored milk milk products fermented milk
 ice-cream food in sealed containers beverages in sealed containers

| | |
|--|--|
| Data of products: name of establishment..... | |
| 1. | Name of food in Thai..... |
| 2. | Name of Food in foreign language (if any)..... |
| 3. | Food description..... |
| 4. | Purpose of use <input type="checkbox"/> Consume as general food <input type="checkbox"/> Raw material for production of other foods as follows:..... <input type="checkbox"/> Others, please specify..... |
| 5. | Direction of use <input type="checkbox"/> Ready to consume (continue to No.7) <input type="checkbox"/> Dissolve /dilute before consume (continue to No. 6) <input type="checkbox"/> Cook or mix before consume (continue to No. 6)) <input type="checkbox"/> As ingredients in other food production |
| 6. | Product preparation method (per one consumption or per one serving) Quantity of product :gram Quantity of liquid :millilitr (specify kind of liquid :.....) |
| 7. | Type of packaging..... |
| 8. | Food category (as in Notification of the Ministry)..... Food type (as in Notification of the Ministry)..... |

| Data of Products (continue) | | | | | | | |
|-----------------------------|---|-----------|----|----|----|-------------|--------|
| 9. | All ingredients in the product | | | | | | |
| No. | List of ingredients and Food Serial Number (as the case maybe) | function* | | | | quantity | remark |
| | | G | FA | FL | AI | | |
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| total | | | | | | 100% | |

In case of food in sealed containers under Notification of Ministry of Public Health: Re Food in sealed containers : Drained weight.....%

Food category as in Codex:

*** Function**

G (General) means ingredients used in general for production of foods such as sugar, salt, unmodified tapioca starch, etc.

FA (Food Additive) Means food additives proceed to Form Sorbor5-2 document of Checking for quantity of food additives used.

AI (Active Ingredient) Means vitamin, mineral, amino acids, herbs, extracts, synthetic substances, etc. Varieties of extracts proceed to Form Sorbor5-3 document for checking of quantity of vitamins, minerals or Form Sorbor5-4 document for checking of quantity of amino acids, , o Form Sorbor 5-5 document for checking quantity of herbs, extracts or synthetic substances

FL (Flavour) means flavoring agents shall specify food serial number or certify those ingredients used have followed legal requirements by having food serial number already.

Furthermore ingredient used contained with mixture of substances such as Vitamin Premix, composited food additive, flour premix, etc, shall specify details by giving ingredients in recipes 100% or food serial number.

| | |
|--|--|
| 10. | Production methods (specify by fact) |
| <input type="checkbox"/> Pasteurize <input type="checkbox"/> UHT <input type="checkbox"/> Sterilize <input type="checkbox"/> Frozen <input type="checkbox"/> Ferment Specify microorganisms..... <input type="checkbox"/> Other treatment methods, specify..... | <input type="checkbox"/> Gaseous <input type="checkbox"/> Reverse Osmosis: R.O. <input type="checkbox"/> Ultraviolet <input type="checkbox"/> Irradiation <input type="checkbox"/> Pickle, cure <input type="checkbox"/> Ozone <input type="checkbox"/> Other methods please |

Document for checking of food additive quantities

Name of products..... Category

| No. | Name of food additive | INS No. | Function of food additive | Quantity used in formula (mg/kg or ppm ¹) (E) | Quantity in ready-to-eat condition ² (ppm) (F) | Category of food and quantity and unit allowed to use (specify reference source) | | | | | Evaluation result | |
|-----|-----------------------|---------|---------------------------|---|---|--|-----------------------------------|------------------------------|-------|-------------------------|----------------------------|--------|
| | | | | | | Category of food ³ | Notification of MoPH as specified | Notification of MoPH No. 281 | CODEX | Status Quo ⁴ | others (in case of export) | passed |
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1 Convert unit from percent (%) to mg/kg or parts per million (ppm) by percent (%) x 10,000
 2 If products are powdered/concentrated, calculation in ready-to-eat condition as method is required. The calculation method is specified in page 2
 3 Category of food shall specify as source used for references such as refer to the Notification of MoPH No.281 as reference shall specify category of foods as in such Notification. If refer to Codex, category of food shall specify as food categories of Codex, etc.
 4 Status quo means items and quantities of food additives that have been permitted prior to the Notification of MoPH No. 281 B.E.2547 (2004) Re: Food additives and their status of permissions are still maintained.

| | |
|---|---|
| <p><u>For business operator</u> Sign.....evaluator (.....) d/m/y</p> | <p><u>Evaluation result</u> <input type="checkbox"/> Passed <input type="checkbox"/> Failed</p> |
|---|---|

Calculation method for food additive quantities in ready-to-eat condition

| 1. Products in <u>powdered form</u> | 2. Products in <u>concentrated form</u> |
|--|---|
| 1.1 <u>Calculation of product as ready-to-eat condition</u> Ratio of dilution (method of mixing) product(A) g with water or liquid(B) ml. Proportion of product in ready-to-eat condition is(A)/.....(D) +(B) =(C) | 2.1 <u>Calculation of product as ready-to-eat condition</u> Ratio of dilution product(G) parts with water or liquid.....(B) parts Proportion of product in ready to consume condition(G) +.....(B) =(H) parts |
| 1.2 <u>Calculation of food additives in product as ready-to-eat condition</u> Formula : [.....(A) x(E) ppm] /(C) =(F) ppm | 2.2 <u>Calculation of food additives in product as ready-to-eat condition</u> Formula : [.....(G) x..... (E) ppm] /.....(H) = (F) ppm |

Remark

- 1) A = Weight of product as powdered in gram B = volume of liquid as milliliter C, H = Quantity after mixing
- D = Density E = Food additives in product formula as mg/kg or ppm
- F = quantity of food additives in ready-to-eat product G = Quantity of concentrated products
- 2) If quantity of food additives is less than 100%, such quantity shall be used for calculation.

Document for checking of quantity of vitamins or minerals

Name of products.....type.....

Dried / Concentrated >>> weight.....g per time

Liquid >>> weight of product in liquid form = g per time

Consuming quantity =times per day

| nutrients | Form of nutrient | Active ingredient (%) | Content in formula (%) | Consuming quantity | | | Thai RDI | Max allowance quantity according to Nutrification per day | Allowance quantity only for caffeinated beverages per day | evaluation | |
|------------------|------------------|-----------------------|------------------------|--------------------|---------|---------|-------------------------|---|---|------------|--------|
| | | | | 1 time | 2 times | 3 times | | | | Passed | Failed |
| Vitamin A | | | | | | | 800 mcg.RE (2664 IU) | 1200 mcg.RE (150%) (3996IU) | Not more than 1200 mcg.RE | | |
| Vitamin B1 | | | | | | | 1.5 .mg. | 3 mg. (200%) | Not more than 20 mg. | | |
| Vitamin B2 | | | | | | | 1.7 mg. | 3.4 mg. (200%) | Not more than 7.5 mg. | | |
| Niacin | | | | | | | 20 mg NE | 40 mg NE (200%) | Not more than 40 mg NE | | |
| Vitamin B6 | | | | | | | 2mg. | 4 mg. (200%) | Not more than 7.5mg. | | |
| Folate | | | | | | | 200 mcg. | 400 mcg. (200%) | Not more than 400 mcg. | | |
| Biotin | | | | | | | 150 mcg. | 300 mcg. (200%) | Not more than 300 mcg. | | |
| Pantothenic Acid | | | | | | | 6 mg. | 12 mg. (200%) | Not more than 12 mg. | | |
| Vitamin B12 | | | | | | | 2mcg. | 4 mcg. (200%) | Not more than 20 mcg. | | |
| Vitamin C | | | | | | | 60 mg. | 120 mg (200%) | Not more than 120 mg. | | |
| Vitamin D | | | | | | | 5mcg. (200 IU) | 7.5 mcg. (150%) (300 IU) | Not more than 7.5 mcg. | | |
| Vitamin E | | | | | | | 10 mg. alpha-TE (15 IU) | 15 mg. alpha-TE (150%) (22.5 IU) | Not more than 15 mg. alpha-TE | | |
| Vitamin K | | | | | | | 80 mcg. | 120 mcg. (150%) | Not more than 120 mcg. | | |
| Calcium | | | | | | | 800 mg. | 1200 mg. (150%) | Not more than 1200 mg. | | |
| Phosphorus | | | | | | | 800 mg. | 1200 mg. (150%) | Not more than 1200 mg. | | |
| Iron | | | | | | | 15 mg. | 18 mg. (120%) | Not more than 18 mg. | | |
| Magnesium | | | | | | | 350 mg. | 525 mg. (150%) | Not more than 525 mg. | | |
| Zinc | | | | | | | 15 mg. | 18 mg. (120%) | Not more than 18 mg. | | |
| Copper | | | | | | | 2 mg. | 3 mg. (150%) | Not more than 3 mg. | | |
| Potassium | | | | | | | 3500 mg. | 5250 mg. (150%) | Not more than 5250 mg. | | |
| Sodium | | | | | | | 2400 mg | 2400 mg. (100%) | Not more than 2400 mg. | | |
| Manganese | | | | | | | 3.5 mg. | 5.25 mg. (150%) | Not more than 5.25 mg. | | |
| Selenium | | | | | | | 70 mcg. | 105 mcg. (150%) | Not more than 105 mcg. | | |
| Molybdenum | | | | | | | 160 mcg. | 240 mcg. (150%) | Not more than 240 mcg. | | |
| Chromium | | | | | | | 130 mcg. | 195 mcg. (150%) | Not more than 195 mcg. | | |
| Chloride | | | | | | | 3400 mg. | 5100 mg. (150%) | Not more than 5100 mg. | | |
| Nicotinamide | | | | | | | - | - | 10-38 mg. | | |
| Inositol | | | | | | | - | - | 25-75 mg. | | |
| Glucuronolactone | | | | | | | - | - | 0.2-0.9 g. | | |
| Taurine | | | | | | | - | - | 0.13-1.5 g. | | |

Remark: 1. sample of nutrient form such as a nutrient form of Vitamin A is Vitamin A Palmitate
 2. In case of Iodine and fluoride, they shall be as FDA approval

| | |
|---|---|
| <p><u>For business operator</u></p> <p>Sign.....evaluator (.....)</p> <p>D/M/Y</p> | <p><u>Evaluation result</u></p> <p><input type="checkbox"/> Passed <input type="checkbox"/> Failed</p> |
|---|---|

Document for checking quantity of herbs, extracts or synthetic substances

Name of products.....type.....

Dried / concentrate >>> weight.....g per time

Liquid >>> weight of product in liquid form = g per time

Consuming quantity =times per day

| No. | Name of herbs/extracts/synthetic substances | Details | | | | | | References | | Evaluation result | | |
|-----|---|-------------------------|--|---------|---|--------------------|---------|------------|--------------------|-------------------|--------|--------|
| | | Quantity in formula (%) | Ratio in extraction* (raw material: extract) | solvent | Active substance and quantity (name and quantity) (%) | Consuming quantity | | | Reference quantity | Reference source | passed | failed |
| | | | | | | 1 time | 2 times | 3 times | | | | |
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* Ratio of extraction means ratio of quantity of raw materials used to obtain 1 g of extracts

| | |
|--|---|
| <p><u>For business operator</u> Sign.....evaluator (.....) D/M/Y</p> | <p><u>Evaluation result</u> <input type="checkbox"/> Passed <input type="checkbox"/> Failed</p> |
|--|---|

| |
|-------------------|
| Receiving no..... |
| date..... |

Form for correction of food registration/notification

1. I am.....operator of production establishment/import

Name..... Address.....Trok/Soi.....

Road.....Moo.....tumbon/subdistrict
ampur/district.....Province.....Postal code

tel.....fax.....request to correct of details of food as follow:

| Name of food /food serial numbers | Items to be corrected |
|-----------------------------------|-----------------------|
| | |

2. I have submitted the following evidences

2.1 Two copies of form for correction of food description which have been permitted for use of food labels

2.2 Others

sign.....applicant

(.....)